

Electronic Acknowledgement Receipt

EFS ID:	10052481
Application Number:	09663151
International Application Number:	
Confirmation Number:	2668
Title of Invention:	METHOD AND SYSTEM FOR EXECUTING TRADES IN A USER PREFERRED SECURITY
First Named Inventor/Applicant Name:	Bradley J. Swearingen
Customer Number:	20350
Filer:	Hal R. Yeager/Joan Gordon
Filer Authorized By:	Hal R. Yeager
Attorney Docket Number:	020425-117300US
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	yes
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Payment was successfully received in RAM	\$1510
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Authorized User	YAEGER,HAL

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	Issue_Fee_Transmittal.pdf	119435 704e1507988035e0cf7cd1335e74b0260b0d05cb6b	no	1

Warnings:**Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	30195 5706c879a7ac06be12fb0bdffcc067dd94400B	no	2
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Warnings:**Information:****Total Files Size (in bytes):**

149630

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.